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MICHAEL W. DOBBINS  
CLERK, U.S. DISTRICT COURTIN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISIONTRUSTEES OF THE N.E.C.A. -  
IBEW LOCAL 176 HEALTH, WELFARE,  
PENSION, VACATION, AND TRAINING  
TRUST FUNDS,

Plaintiffs,

vs.

KEVIN ELECTRIC COMPANY, INC.,  
an Illinois Corporation, and  
PAUL CALIBAN, Individually,

Defendants.

07CV7259

JUDGE DER YEGHIAYAN  
MAG. JUDGE BROWNCOMPLAINT

Plaintiffs, by their attorneys, ARNOLD AND KADJAN and John F. Etzkorn, complain against Defendants KEVIN ELECTRIC COMPANY, INC., ("KEVIN") an Illinois Corporation, and PAUL CALIBAN, ("CALIBAN") Individually, as follows:

1. (a) Jurisdiction of this cause is based upon Section 301 of the National Labor Relations Act as amended, 29 U.S.C. 185(a), as hereinafter more fully appears.

(b) Jurisdiction of this cause is based upon Section 502 of the Employee Retirement Income Security Act of 1974, 29 U.S.C. 1132 ("ERISA"), as hereinafter more fully appears.

2. (a) The Plaintiffs are the TRUSTEES OF THE N.E.C.A. -IBEW LOCAL 176 HEALTH, WELFARE, PENSION, VACATION, AND TRAINING TRUST FUNDS.

(b) The Pension Fund, Welfare Fund, and Vacation Fund (together called "the Funds") have been established pursuant to collective bargaining agreements heretofore entered into between the International Brotherhood of Electrical Workers Local 176 (the "Union") and

certain employer associations whose members employ members of the Union, and the Funds are maintained and administered in accordance with and pursuant to the provisions of the National Labor Relations Act, as amended, and other applicable state and federal laws. The Funds are administered by the Plaintiffs as the presently acting trustees thereof, pursuant to the terms and provisions of the National Labor Relations Act, as amended, and other applicable state and federal laws and the terms and provisions of the Agreements and Declarations of Trust which established the Funds.

3. (a) Defendant **KEVIN**, resides within this jurisdictional district.

(b) Defendant **KEVIN**, is an employer engaged in an industry affecting commerce.

(c) Defendant **KEVIN**, has its principal place of business located at 6140 w. 167<sup>th</sup> Street, Tinley Park, Illinois 60477.

4. Since on or about October 11, 1990, Defendant **KEVIN** executed a LETTER OF ASSENT, a copy of which is attached hereto, which authorized the Will County Division, Illinois Chapter of the National Electrical Contractors Association, Inc. (hereafter "NECA") as **KEVIN**'s collective bargaining representative for all matters contained in or pertaining to the collective bargaining agreement then in force, and thereafter, between NECA and the Union.

5. Since before 1972, NECA, a multi-employer bargaining association authorized to negotiate and execute collective bargaining agreements on behalf of its members and authorizing employers, has entered into successive collective bargaining agreements with the Union on behalf of its members, including Defendant **KEVIN**.

6. By virtue of certain provisions contained in the collective bargaining agreements and Declarations of Trust, Defendant has agreed to make fringe benefit contributions on behalf of

certain of its employees, calculated in relation to the gross wages of said employees, and when given reasonable notice by the Trustees of said Funds or the Trustees' representatives, has agreed to submit its books and records to an independent auditor to determine whether or not said Defendant is in compliance with its duties under ERISA, 29 U.S.C. §1132(g)(2)(A), the various collective bargaining agreements and the Declarations of Trust to which Defendant has assented.

7. In the event a delinquency is shown to be due and owing, Defendant has agreed to be liable for all costs, ten percent delinquency penalty and reasonable attorneys' fees.

8. Independent of said Declarations of Trust to which Defendant has assented, Defendant is liable for costs pursuant to 29 U.S.C. §1132(g)(2)(E), a twenty percent penalty in liquidated damages pursuant to 29 U.S.C. §1132(g)(2)(C)(ii), attorneys' fees pursuant to 29 U.S.C. §1132(g)(2)(D), and prejudgment interest pursuant to 29 U.S.C. §1132(g)(2)(B).

9. Since on or about October 11, 1990, Defendant, **KEVIN**, has admitted, acknowledged and ratified the collective bargaining agreements entered into between NECA and the Union by the filing of periodic report forms with the Funds and by its making some but not all of the periodic payments to the Funds as required by such agreements.

10. Plaintiffs are advised and believe that since on or about September 1, 2000, Defendant **KEVIN**, has failed to make some of the contributions from time to time required to be paid by it to the Funds pursuant to the terms of the collective bargaining agreements by which it was bound, all in violation of its contractual obligations and its obligations under the applicable state and federal statutes.

11. Plaintiffs have made demand for an audit to be performed on Defendant **KEVIN** complete books and records, but the audit remains unresolved.

12. By virtue of the foregoing, Plaintiffs and Defendant's employees on whose behalf Plaintiffs are acting as fiduciaries have been damaged in an amount yet to be determined.

WHEREFORE, Plaintiffs pray:

A. Defendants be ordered to allow an audit of their books and records for the period from September 1, 2000 through the present.

B. That judgment be entered for Plaintiffs and against Defendant **KEVIN**, in the amount shown to be due under the audit pursuant to 29 U.S.C. 1132(g)(2)(A) and the aforesaid Declarations of Trust.

C. That Plaintiffs be awarded their costs herein, as follows:

(1) Twenty percent in liquidated damages pursuant to 29 U.S.C. 1132(g)(2)(C)(ii), and the aforesaid collective bargaining agreements and trust declarations to which Defendant has assented;

(2) A ten percent penalty pursuant to the aforesaid collective bargaining agreements and trust declarations to which Defendant has assented;

(3) Audit costs pursuant to 29 U.S.C. 1132(g)(2)(E), and the collective bargaining agreements and trust declarations to which Defendant has assented;

(4) Attorneys' fees pursuant to 29 U.S.C. 1132(g)(2)(D), and the collective bargaining agreements and trust declarations to which Defendant has assented; and

(5) Prejudgment interest pursuant to 29 U.S.C. 1132(g)(2)(B).

D. That pending final determination of this cause, Defendant **KEVIN**, be enjoined from violating the aforesaid collective bargaining agreements and enjoined from failing to make timely payments to the Funds as set forth; and that upon final adjudication of the cause Defendant **KEVIN**, be permanently so enjoined.

E. That the court grant Plaintiffs such other and further relief as it may deem appropriate in the circumstances.

### COUNT II

Plaintiffs complaining against Defendant **PAUL CALIBAN** ("**CALIBAN**"), personally and individually, state as follows:

13. Plaintiffs incorporate paragraph 1-12 of Count I as applicable and reiterate the same as if fully set forth herein.

14. Defendant **CALIBAN** is the owner and operator of Defendant **KEVIN**, and is on information and belief either director and/or officer of said Defendant **KEVIN**.

15. Since October 11, 1990 was never authorized to transact business in the State of Illinois as a lawful corporation, Defendant **CALIBAN**, as the sole proprietor of **KEVIN**, by his own admission, is personally liable for any unpaid contributions, costs and reasonable attorneys' fees arising from Plaintiffs' actions to collect said delinquency; even if **KEVIN** had been a properly incorporated and authorized corporation, Defendant **CALIBAN**, pursuant to Article VIII, Section 1 of Amendment No. 3 of the Pension Fund Declarations of Trust and, Article I, Part V, Section 5 of the Health and Welfare Fund Declarations of Trust, to which both she and Defendant **KEVIN**, have assented, is personally liable for any unpaid contributions, costs and reasonable attorneys' fees arising from Plaintiffs' actions to collect said delinquency, to wit,

"Where an audit discloses a difference between hours actually worked by an Employee and hours reported to the Trust by his Employer, and where such audit discloses any willful violation of any of the requirements of this Trust Agreement or rules and regulations adopted in connection herewith, the officers and directors of such Employer, if a corporation, or the owner or partners of such Employer, as applicable, shall be personally liable for any underpayment or other pecuniary loss to the Fund attributable to such conduct."

16. Plaintiffs, on information and belief, submit that Defendant **KEVIN**, and thereby Defendant **CALIBAN** have engaged in willful violation of these provisions and is therefore personally liable for any unpaid contributions.

WHEREFORE, Plaintiffs pray:

A. Defendants be ordered to allow an audit of their books and records for the period from September 1, 2000 through the present.

B. That judgment be entered for Plaintiffs and against Defendant **CALIBAN**, personally and individually in the amount shown to be due under the audit pursuant to 29 U.S.C. §1132(g)(2)(A) and the aforesaid Declarations of Trust.

C. That Plaintiffs be awarded their costs herein, as follows:

(1) Twenty percent in liquidated damages pursuant to 29 U.S.C. §1132(g)(2)(C)(ii), and the aforesaid collective bargaining agreements and trust declarations to which Defendant has assented;

(2) A ten percent penalty pursuant to the collective bargaining agreements and trust declarations to which Defendant has assented;

(3) Audit costs pursuant to 29 U.S.C. §1132(g)(2)(E), and the collective bargaining agreements and trust declarations to which Defendant has assented;

(4) Attorneys' fees pursuant to 29 U.S.C. §1132(g)(2)(D), and the collective bargaining agreements and trust declarations to which Defendant has assented; and

(5) Prejudgment interest pursuant to 29 U.S.C. §1132(g)(2)(B).

D. That pending final determination of this cause, Defendant **CALIBAN**, personally and individually, be enjoined from violating the aforesaid collective bargaining agreements and

enjoined from failing to make timely payments to the Funds as set forth; and that upon final adjudication of the cause Defendant **CALIBAN** be permanently so enjoined.

D. That the court grant Plaintiffs such other and further relief as it may deem appropriate in the circumstances.

**TRUSTEES OF THE N.E.C.A. -  
IBEW LOCAL 176 HEALTH, WELFARE,  
PENSION, VACATION, AND TRAINING  
TRUST FUNDS,**

By: 

John F. Etzkorn  
Counsel for Plaintiffs

John F. Etzkorn  
ARNOLD AND KADJAN  
19 West Jackson Boulevard  
Chicago, IL 60604-3958  
(312) 236-0415

In signing this letter of assent, the undersigned firm does hereby authorize <sup>1</sup> Eastern IL Chapter, National Electrical Contractor's Association as its collective bargaining representative for all matters contained in or pertaining to the current and any subsequent approved <sup>2</sup> Inside labor agreement between the <sup>1</sup> Eastern IL Chapter, National Electrical Contractor's Association and Local Union <sup>3</sup> 176, IBEW. The Employer agrees that if a majority of its employees authorizes the Local Union to represent them in collective bargaining, the Employer will recognize the Local Union as the exclusive collective bargaining agent for all employees performing electrical construction work within the jurisdiction of the Local Union on all present and future jobsites. This authorization, in compliance with the current approved labor agreement, shall become effective on the <sup>4</sup> 15 day of October, 1990. It shall remain in effect until terminated by the undersigned employer giving written notice to the <sup>1</sup> Eastern IL Chapter, National Electrical Contractor's Association and to the Local Union at least one hundred fifty (150) days prior to the then current anniversary date of the applicable approved labor agreement.

In accordance with Orders issued by the United States District Court for the District of Maryland on October 10, 1980, in Civil Action HM-77-1302, if the undersigned employer is not a member of the National Electrical Contractors Association, this letter of assent shall not bind the parties to any provision in the above-mentioned agreement requiring payment into the National Electrical Industry Fund, unless the above Orders of Court shall be stayed, reversed on appeal, or otherwise nullified.

SUBJECT TO THE APPROVAL OF THE INTERNATIONAL PRESIDENT, IBEW  
Phone (708) 532-4800  
NAME OF FIRM

<sup>6</sup> Kevin Electric Fed. #36-2374637  
(type or print)

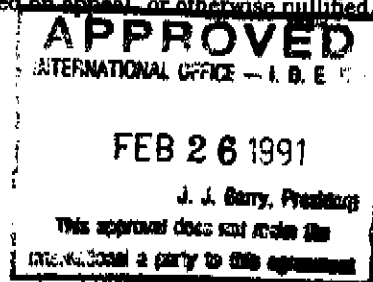
6140 W. 167th St. Tinley Park, IL 60477  
SIGNED FOR THE EMPLOYER

BY: [Signature]  
(original signature)

NAME: Paul CALIBAN  
(Type or Print)

TITLE: OWNER 10/11/90

DATE: 10/11/90



SIGNED FOR THE UNION <sup>7</sup> 176, IBEW

BY: [Signature]  
(original signature)

NAME: Lynn A. Fieldman  
(Type or Print)

TITLE: Business Manager

DATE: 10/15/90

### INSTRUCTIONS

<sup>1</sup> **NAME OF CHAPTER OR ASSOCIATION**

Insert full name of NECA Chapter or Contractors Association involved.

<sup>2</sup> **TYPE OF AGREEMENT**

Insert type of agreement. Example: Inside, Outside Utility, Outside Commercial, Outside Telephone, Residential, Motor Shop, Sign, Tree Trimming, etc. The Local Union must obtain a separate assent to each agreement the employer is assenting to.

<sup>3</sup> **LOCAL UNION**

Insert Local Union Number.

A MINIMUM OF **FOUR** COPIES OF THE JOINT SIGNED ASSENTS MUST BE SENT TO THE INTERNATIONAL OFFICE FOR PROCESSING.

IMPORTANT: These forms are printed on special paper and no carbon paper is required for duplicate copies. Remove from the pad enough copies of the form for a complete set and complete the form; the image will transfer to succeeding copies. CAUTION: do not write on padded forms; unused forms below will be spoiled.

IBEW FORM 302 REV. 11/88

<sup>4</sup> **EFFECTIVE DATE**

Insert date that the assent for this employer becomes effective. Do not use agreement date unless that is to be the effective date of this Assent.

<sup>5</sup> **EMPLOYER'S NAME**

Print or type Company name

<sup>6</sup> **SIGNATURES**

<sup>7</sup> **SIGNER'S NAME**

Print or type the name of the person signing the Letter of Assent. International Office copy must contain actual signatures—not reproduced—of a Company representative as well as a Local Union officer.